

**Recipient Fraud Response:**

**How many cases has the AG successfully prosecuted:**

- From January 1, 2014 through May 12, 2014, the AG's Office has filed criminal charges in eight cases involving allegations of recipient fraud.
  - During that same time, ten defendants accused of recipient fraud pled guilty. That number includes two defendants who have entered pleas and are waiting to be sentenced.
  - For the eight cases in which sentencing has been completed, the court has ordered restitution of \$53,797.06.

**How many cases has the AG declined to prosecute:**

- From January 1, 2014 through May 12, 2014, the AG's office has declined three out of the twenty-two cases referred during the period.

**How many fraud cases have yet to be considered:**

- The AG's office does not track this data and has no records responsive to this inquiry.

Recipient fraud cases considered by the AG's office since January 2014 came solely from DHHS investigators.

**Provider Fraud Response:** The Attorney General's Healthcare Crimes Unit (HCU) collects data quarterly. This information reflects the period from January 1, 2014 to March 31, 2014.

**How many cases has the AG successfully prosecuted:**

- The HCU started the quarter with 30 open fraud cases. We opened 2 and we closed 7, leaving us with 25 open fraud cases at the end of the quarter.
- Because the open cases are always in various stages of investigation or prosecution, HCU provides the following:
  - During the quarter we indicted or charged in two criminal cases.
  - HCU obtained criminal convictions in 3 cases.
  - HCU had sentencing hearings in 4 criminal cases (indicating that at least one case had been found guilty in a previous quarter and sentencing was continued into the first quarter). In all four of the sentenced cases there was a sentence of imprisonment plus fines, restitution or both.
  - Total fines and restitution in criminal cases totaled \$15,368 in the first quarter.

- HCU was involved in suspending 4 providers from the MaineCare program in the quarter, meaning that the provider became ineligible to provide and bill for MaineCare services.
- HCU was involved in 6 civil settlements in the quarter, resulting in \$108,507.67 in total recoveries.

**How many cases has the AG declined to prosecute:** The HCU does not track this data.

- A referral to the HCU may turn out to be a prosecutable case or not, but the mere fact that a referral does not result in a prosecution does not per se mean that HCU declined to prosecute.
- Because of the way the HCU tracks referrals, the difference between the number of referrals and the number of prosecutions does not equal the number of declined prosecutions.
- HCU reported that it had 48 fraud referrals in the first quarter. HCU made 5 referrals to other agencies more appropriately situated to investigate the specific conduct.

**How many fraud cases have yet to be considered:**

- The HCU does not track this data and has no records responsive to this inquiry.